

2153

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

Docket No.
APP 1236

In Re Application Of: **Chi Leung Lau et al**

MAR 21 2005

Application No.

09/909,645

Filing Date

07/20/2001

Examiner

Nash, Lashanya Renee

Customer No.

09941

Group Art Unit

2153

Confirmation No.

1839

Invention: **IP Flow Discovery For IP Probe Auto Configuration and SLA Monitoring**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

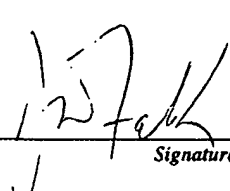
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: **March 15, 2005**

James W. Falk (Reg. No. 16154)
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I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

March 16, 2005

(Date)


Signature of Person Mailing Correspondence

Vivian Austin

Typed or Printed Name of Person Mailing Correspondence

cc:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. APP 1236	
In Re Application Of: Chi Lung Lau et al <div style="position: absolute; top: 0; left: 0; width: 100px; height: 100px; border: 1px solid black; border-radius: 50%; text-align: center; line-height: 100px; font-size: 10px;"> OIP MAR 21 2005 PATENT & TRADEMARK OFFICE </div>					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/909,645	07/20/2001	Nash, Lashanya Renee	09941	2153	1839
Title: IP Flow Discovery for IP Probe Auto-Configuration and SLA Monitoring					
<div style="text-align: center;"> <p>Address to:</p> <p>Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> </div> <div style="text-align: center; margin-top: 10px;"> 37 CFR 1.97(b) </div> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> 37 CFR 1.97(c) </div> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px; margin-top: 10px;"> <input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div> <div style="text-align: center; margin-top: 10px;"> OR </div> <div style="margin-left: 40px; margin-top: 10px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>					

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
APP 1236

In Re Application: Chi Leung Lau et al

MAR 21 2005

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/909,645	07/20/2001	Nash, Lashanya Renee	09941	2153	1839

Title: IP Flow Discovery for IP Probe Auto-Configuration and SLA Monitoring

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
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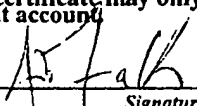
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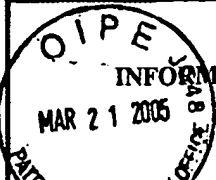
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Dated: 03/15/2005


Signature
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cc:

 <p style="text-align: center;">INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>	Docket Number (Optional) APP 1236	Application Number 09/909,645
	Applicant(s) Chi Leung Lau et al	
	Filing Date 07/20/2001	Group Art Unit 2153

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
<i>JN</i>	AA	EP 1 039 687 A2	09/27/00	Europe	H04L	12/14	✓	
<i>JN</i>	AB	WO 93/26111	12/23/93	WIPO			✓	
<i>JN</i>	AC	WO 01/37493 A	05/25/01	WIPO			✓	
<i>JN</i>	AD	WO 01/22686 A	03/26/01	WIPO			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER <i>Jelphay V. N. [Signature]</i>	DATE CONSIDERED <i>5/22/2005</i>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.